



COLORADO DEPARTMENT
OF
TRANSPORTATION
REGION 5

REQUEST FOR QUALIFICATIONS

US 550/160 CONNECTION SOUTH
DESIGN-BUILD PROJECT

PROJECT NO.: NHPP 5501-029

SUBACCOUNT NO.:22420

March 15, 2019

STATEMENTS OF QUALIFICATIONS DUE: April 26, 2019



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FORMS

- FORM A Submitter and Major Participant Information
- FORM B Contractor Safety Statistics
(Provided for example. Statistics will be submitted electronically in *Bid Express*)
- FORM C Summary of Comparable Contracts in Past Ten (10) Years
- FORM D *Bid Express* electronic certification. No Physical form exists.
- FORM E Certificate of Prequalification



1.0 INTRODUCTION

The Colorado Department of Transportation (CDOT), Region 5, is requesting Statements of Qualifications (SOQ) from entities (Submitters) that previously submitted Letters of Interest (LOI) for the US 550/160 Connection South Design-Build Project (the Project). The Project will be funded with federal, state, and local dollars. Submitters must comply with all applicable federal, state, and local requirements.

1.1 Procurement Process

CDOT will use a two-phase value-based procurement process to select a design-build contractor to deliver the Project. This Request for Qualifications (RFQ) is issued as part of Phase I to solicit information in the form of SOQs. CDOT will evaluate SOQs to determine the Submitters that are the most qualified to successfully deliver the Project. CDOT will shortlist the most qualified Submitters to participate in Phase 2 of the process.

In Phase 2, CDOT will issue a Request for Proposals (RFP) for the Project to the shortlisted Submitters. Only shortlisted Submitters will be eligible to submit Proposals. CDOT intends to award a design-build contract for the Project to the Proposer offering the best value, as determined by CDOT at its sole discretion.

CDOT reserves the right, in its sole discretion, to cancel this RFQ, issue a new RFQ, reject any or all SOQs, seek or obtain data from any source that has the potential to improve the understanding and evaluation of the responses to this RFQ, seek and receive clarifications to a SOQ and waive any deficiencies, irregularities, or technicalities in considering and evaluating the SOQs.

This RFQ does not commit CDOT to enter into a Contract or proceed with the procurement of the Project. CDOT assumes no obligations, responsibilities and liabilities, fiscal or otherwise, to reimburse all or part of the costs incurred by the parties responding to this RFQ. All such costs shall be borne solely by each Submitter.

1.2 Submitter Information

Any addenda or other information regarding this RFQ will be issued via *Bid Express* (the same system that was used for submitting LOIs) to the company provided in the previously submitted Letter of Interest. Each SOQ Submitter is solely responsible for ensuring that CDOT's Project Director identified in [Section 2.6](#) has its current contact person's name and email address. The contact person shall be the Submitter's single point of contact, unless amended through acknowledged communication with CDOT. If a Submitter is a team of entities, it shall provide to CDOT a single point of contact.

1.3 Project Information

The CDOT Project website is the official location for all Project information.
<https://www.codot.gov/projects/us-550-us-160-Connection-design-build>

2.0 BACKGROUND INFORMATION

2.1 Project Description, Scope of Work

The intended connection of US 550 to US 160 is part of long-range plan for enhanced mobility for the southwestern Colorado region. The connection is a key component for future growth providing mobility, safety, economic vitality and connectivity for the Four Corners area and all communities within this region.



The Work will be comprised of a Basic Configuration and Additional Requested Elements (ARE) that together include:

1. Reconstruct US 550 from CR 302 north to the US 160 Grandview interchange as a divided 4-lane principal arterial, with 12-foot lanes, 4-foot inside shoulders, 10-foot outside shoulders, and a center median or median barrier.
2. Construct a 2-lane roundabout at the Grandview interchange
3. Reconstruct CR 219 intersections
4. Reconstruct CR 220 intersection
5. Obliterate and reclaim the existing US 550 Farmington Hill Roadway
6. Remove and reconfigure the existing US 550/US 160 intersection
7. Construct two bridges
8. Construct two Large Mammal Crossings and associated wildlife appurtenances
9. Provide drainage and stormwater protection improvements, as is necessary, to complete the Work.
10. Construct or improve several cut or fill walls
11. Install a fixed automated spray technology (FAST) anti-icing/de-icing system

The Federal Highway Administration (FHWA) and CDOT are in the process of completing National Environmental Policy Act (NEPA) Reevaluations for the US 550 project and the US 550 South Connection to US 160 project. It is anticipated that the Reevaluations will be complete before the Final RFP is released.

This Project and Work is in conformance with the NEPA. The Project and Work shall not preclude anything required as part of the *US 550 South Connection to US 160 Supplemental Final Environmental Impact Statement/Section 4(f) Evaluation to the US Highway 160 from Durango to Bayfield EIS Record of Decision, the Highway US 550 – State Line North to County Road 220 Finding of No Significant Impact*, or the associated Reevaluations. Project mitigation measures shall be implemented with the Work. No Project mitigation measure resulting from the Work shall be deferred to a future project.

Proposers during Phase 2 will be encouraged to propose AREs that extend the scope of work for the Project beyond the Basic Configuration to continue progression towards meeting the Project Goals.

The design and construction by the successful Proposer shall be completed in accordance with applicable CDOT guidelines and standards as specifically modified in the RFP for this Project.

Construction Quality Assurance shall remain the responsibility of CDOT.

2.2 Project Purpose, Values, and Goals

2.2.1 Project Purpose and Values

CDOT seeks to improve US 550 for the residents, travelers, and freight users of this important corridor by:

1. Maximizing Safety - reduce vehicular crashes and minimize conflicts with wildlife.
2. Maximizing travel efficiency and mobility by meeting the future demand for highway capacity.
3. Improving access management along the US 550 and US 160 Corridors.

2.2.2 Project Goals

CDOT has established the following draft Goals for the Project:

1. Maximize project scope
 - A. Provide solutions to complete the Project's Basic Configuration and AREs.
 - B. Synchronize with future phases within the corridors and reduce future rework.
 - C. Maximize the integration of the Project Goals and values.
2. Develop an Integrated Approach to Earthwork
 - A. Maximize the use of cut material for the Project and for future use in the US 550 and US 160 Corridors per the:
 - i. *Highway US 550 Corridor Improvements from State Line North to County Road 220 Environmental Assessment*
 - ii. *US 550 South Connection to US 160 Supplemental Final Environmental Impact Statement/Section 4(f) Evaluation to the US Highway 160 from Durango to Bayfield EIS.*
 - iii. *Final Environmental Impact Statement/Final Section 4(f) Evaluation for US Highway 160 from Durango to Bayfield La Plata County, Colorado*
 - B. Minimize the impacts to the surrounding roadway network during construction.
 - C. Minimize environmental impacts during construction and temporary construction access.
3. Provide a Strong Design-Build Team
 - A. Provide a highly organized project management plan clearly defining roles and responsibilities of Key Personnel.
 - B. Develop an approach to locate and integrate key staff with CDOT.
 - C. Demonstrate interdisciplinary relationships for the development and construction of a long-term design.
 - D. Manage the Contractor team to ensure that Project Goals, values and technical requirements are upheld.
 - E. Effectively meet Civil Rights requirements through an integrated and executed plan.
 - F. Develop and foster a partnership with CDOT.
 - G. Leverage local resources.
4. Achieve Quality Design and Construction
 - A. Implement a Quality Management Plan focused on accountability of the design and construction efforts that ensures that Work is completed to the highest standard.
 - B. Incorporate durability into the Project especially addressing:
 - i. Pavements
 - ii. Anti-icing system
 - iii. Structures
 - iv. Drainage systems

5. Corridor Aesthetics

- A. Develop cohesive aesthetics that blends with the existing environment
- B. Match overall visual character of the corridor, especially as it pertains to:
 - i. Structures.
 - ii. Landscaping.
- C. Minimize vegetation removal and maximize revegetation.
- D. Build earthworks that blend into the surroundings through creative shaping and revegetation.

2.3 Estimated Cost/Maximum Time Allowed

The design-build contract Upset Amount for the Project is estimated to be between \$70 million and \$75 million. The anticipated completion deadline for the Project is the end of the 2022 calendar year.

2.4 RFQ Schedule

CDOT anticipates the following Phase I procurement milestone dates. This schedule is subject to revisions by addenda.

Issue RFQ	March 15, 2019
CDOT Project Team Presentation to Submitters	April 9, 2019
1 Hour Submitter One-on-One Meetings	April 10 and April 11, 2019
Final RFQ addendum issued	April 17, 2019
Deadline for industry inquiries to RFQ	April 19, 2019
CDOT responses to industry RFQ inquiries	April 23, 2019
SOQ due date	April 26, 2019
Notify short-listed submitters	June 10, 2019
Issue Draft RFP	June 10, 2019
Debrief RFQ submitters	By request and appointment

2.5 Project Presentation and One-on-Ones

CDOT will offer a presentation to submitters on April 9, 2019 at 1:00 pm at the La Plata County Fairgrounds Extension Building, 2500 Main Ave, Durango, CO 81301. The presentation will also be made available via Google Hangout Video Conferencing at the following link: <https://meet.google.com/qrg-jgfx-tzx> or by phone at (224) 650.8630 [PIN: 834 934#].

Interested Submitters may request a one-on-one meeting with CDOT. Meetings will be scheduled on April 10 and April 11, 2019. Requests for one-on-one meetings shall be submitted via a Google Form using the following link: <https://goo.gl/forms/R0gjKR4h2VMiMufu2>. RFQ inquiries and all information submitted as part of the inquiries and one-on-ones shall be considered non-confidential and non-proprietary.

Meetings and attendance at the presentation are not mandatory requirements of the RFQ.

2.6 CDOT Project Management and Ex Parte Communications

David Valentinelli is the CDOT Project Director for the Project. As the Project Director, Mr. Valentinelli is CDOT's sole contact person and addressee for receiving all communications regarding the Project. All inquiries and comments regarding the Project, shall be sent by e-mail.



E-mail inquiries shall be sent to: 550-160.connection@state.co.us and shall include the wording “**US550/160 Connection Inquiry Request – [Submitter Name]**” in the subject line.

During the Project procurement process, commencing with issuance of this RFQ, and continuing until award of a Contract for the Project (or cancellation of the procurement), no employee, member, or agent of any Submitter shall have ex parte communications regarding the procurement process for this Project with any member of La Plata County, City of Durango, Southern Ute Indian Tribe or its associations, CDOT, USDOT, the FHWA, or Ineligible Firms (see [Section 2.9](#) of this RFQ), except for communications expressly permitted by this RFQ or the CDOT Project Director (or through subsequent issuance of the RFP and as expressly permitted by the RFP). Any Submitter engaging in such prohibited communications may be disqualified at the sole discretion of CDOT.

2.7 Inquiries, Clarifications, and Addenda

All inquiries and requests must be **received by 4:00 pm, Mountain Standard Time**, on the date indicated in [Section 2.4](#).

CDOT reserves the right to revise this RFQ at any time before the SOQ due date. Such revisions, if any, will be announced by addenda to this RFQ and posted on CDOT’s Project website and released via *Bid Express*.

CDOT will use the following guidelines when responding to inquiries or requests for clarification:

1. All inquiries and requests for clarification received via e-mail will be assigned a unique SOQ identification number, converted to a PDF format, and posted on the Project website. The identity of the Submitter will not be disclosed.
2. CDOT will prepare a written response for each inquiry or request for clarification received via e-mail. The response will be posted on the Project website in PDF format.

CDOT will not respond to or accept inquiries that do not follow the prescribed process. Verbal inquiries will not be accepted and will not receive a response.

2.8 Major Participant

As used herein, the term “Major Participant” means any of the following entities: all general partners or joint venture members of the Submitter; all individuals, persons, proprietorships, partnerships, limited liability partnerships, corporations, professional corporations, limited liability companies, business associations, or other legal entity, however organized, holding (directly or indirectly) a 15% or greater interest in the Submitter; any Subcontractor(s) that will perform Work valued at 10% or more of the overall contract amount; the lead engineering/design firm(s); and each engineering/design Subconsultant that will perform 20% or more of the design Work.

CDOT may disqualify a Submitter if any of the Submitter’s Major Participants belong to more than one Submitter organization for this Request.

2.9 Ineligible Firms

CDOT has retained the consulting firms of Wood (Formerly, Amec Foster Wheeler); HDR; Muller Engineering Company; Yeh & Associates; Stolfus and Associates; Farnsworth Group, Inc.; Stanton Constructability Services; Fehr & Peers; Woolpert Inc. and Alpine Archeological Consultants Inc. to provide guidance in the Project’s procurement process, and to provide advice on related contractual and technical matters. As such, the listed firms are not eligible to submit an SOQ or to participate as a member of any Submitter’s team.

Requests for determination regarding this eligibility requirement may be submitted in writing to the CDOT Project Director identified in Section 2.6 of this RFQ.

2.10 Organizational Conflicts of Interest

The Submitter's attention is directed to the following section of CDOT's Design-Build Rules: 2 CCR 601-15 SECTION 7 - CONFLICT OF INTEREST

- A. *CDOT will award a Design-Build Contract only to a Firm that does not have impaired objectivity or an unfair competitive advantage, due to any interest of the Firm that creates an actual conflict or a significant potential conflict with the proposed contract.*
- B. *Except as provided below, any consultant shall be disqualified from submitting a proposal on a Project, or from acting as a subconsultant or subcontractor on a Project, if CDOT determines that the consultant has such a conflict, including in the following situations:*
 - 1. *It develops the Scope of Work for the Design-Build Project; or,*
 - 2. *It develops the RFQ or RFP for the Project; or,*
 - 3. *It performs 20% or more of the Preliminary Engineering on the Project.*
- C. *Any consultant that is so disqualified may still be part of any CDOT oversight team for the Project if CDOT deems it appropriate.*

23 CFR § 636.116 What organizational conflict of interest requirements apply to Design-Build projects?

- D. *State statutes or policies concerning organizational conflict of interest should be specified or referenced in the Design-Build RFQ or RFP document as well as any contract for engineering services, inspection or technical support in the administration of the Design- Build contract. All Design-Build solicitations should address the following situations as appropriate:*
 - 1. *Consultants and/or subconsultants who assist the owner in the preparation of the RFP document will not be allowed to participate as an offeror or join a team submitting a proposal in response to the RFP. However, a contracting agency may determine there is not an organizational conflict of interest for a consultant or sub-consultant where:*
 - (i) *The role of the consultant or subconsultant was limited to providing preliminary design, reports, or similar “low-level” documents that will be incorporated into the RFP, and did not include assistance in development of instructions to offerors or evaluation criteria, or*

- (ii) Where all documents and reports delivered to the agency by the consultant or subconsultant are made available to all offerors.
2. All solicitations for Design-Build contracts, including related contracts for inspection, administration or auditing services, must include a provision which:
- Directs offerors attention to this subpart;
 - States the nature of the potential conflict as seen by the owner;
 - States the nature of the proposed restraint or restrictions (and duration) upon future contracting activities, if appropriate;
 - Depending on the nature of the acquisition, states whether or not the terms of any proposed clause and the application of this subpart to the contract are subject to negotiation; and
 - Requires offerors to provide information concerning potential organizational conflicts of interest in their proposals. The apparent successful offerors must disclose all relevant facts concerning any past, present or currently planned interests which may present an organizational conflict of interest. Such firms must state how their interests, or those of their chief executives, directors, key project personnel, or any proposed consultant, contractor or subcontractor may result, or could be viewed as, an organizational conflict of interest. The information may be in the form of a disclosure statement or a certification.
3. Based upon a review of the information submitted, the owner should make a written determination of whether the offeror's interests create an actual or potential organizational conflict of interest and identify any actions that must be taken to avoid, neutralize, or mitigate such conflict. The owner should award the contract to the apparent successful offeror unless an organizational conflict of interest is determined to exist that cannot be avoided, neutralized, or mitigated.
- E. The organizational conflict of interest provisions in this subpart provide minimum standards for State Transportation Departments (STDs) to identify mitigate or eliminate apparent or actual organizational conflicts of interest. To the extent that State developed organizational conflict of interest standards are more stringent than that contained in this subpart, the State standards prevail.

The Submitter is prohibited from receiving any advice or discussing any aspect relating to the Project or the procurement of the Project with any person or entity with an organizational conflict of interest, including, but not limited to those firms identified in Section 2.9. Such persons and entities are prohibited from participating in any Submitter organization relating to the Project.

The Submitter agrees that if, after Contract award, an organizational conflict of interest is discovered, the Submitter must make an immediate and full written disclosure to CDOT that includes a description of the action the Submitter has taken or proposes to take to avoid or mitigate such conflicts. If an organizational

conflict of interest is determined to exist, CDOT may, at its discretion, cancel the Design-Build Contract for the Project. If the Submitter was aware of an organizational conflict of interest prior to the award of the Contract and did not disclose the conflict to CDOT, CDOT may terminate the Contract for default.

2.11 Past Performance or Experience

Past performance or experience does not include the exercise or assertion of a person's legal rights.

2.12 Civil Rights Compliance Plan

The Civil Rights Compliance Plan is not a submittal requirement of this RFQ. The information in the following sections is provided so that the Submitters are aware of the requirements that, if shortlisted, they will be responsible to address in their Proposals.

During Phase 2 of the procurement process, a Proposer will be required to submit an initial plan detailing how the Proposer will ensure compliance with the civil rights requirements on the Project. A successful plan will ensure compliance for the Proposer and every Subcontractor on the Project at all tiers. Proposers will be expected to explain how civil rights compliance will be incorporated into the Proposer's process management and quality management programs. Specific requirements for the plan will be set out in the RFP. During execution of the Project, the Contractor's Civil Rights Program Manager will be responsible for the development and execution of a Civil Rights Compliance Plan.

Plan requirements in the RFP will, at minimum, require Proposers to address their compliance strategy and processes in the following areas:

1. Davis-Bacon and related acts.
2. Equal Employment Opportunity.
3. Tribal Employment Rights Office (TERO) requirements.
4. Required Federal Provisions, Federal-Aid Construction Contracts, for FHWA 1273.
5. DBE participation, support, and outreach.
6. ESB participation, support, and outreach.
7. On-the-Job Training.
8. Prompt payment to all Subcontractors and suppliers.

2.12.1 Equal Employment Opportunity

The Submitter will be required to follow applicable federal Equal Employment Opportunity (EEO) policies in the execution of the Project. CDOT and the Submitter will affirmatively assure that for the Project, equal employment opportunity will be offered to all persons without regard to race, color, creed, religion, national origin, sex, and marital status, status with regard to public assistance, membership or activity in a local commission, disability, sexual orientation, or age.

2.12.2 Tribal Employment Rights Office (TERO)

The Submitter and all its Subcontractors shall agree to work directly with the TERO and shall comply with the Southern Ute Indian Tribe Tribal Employment Rights Code with the following exception:

1. Section 17-4-105 of Article 4: Training. For the federally mandated CDOT OJT program, this section of the TERO Code is not applicable.

2. Section 17-4-106 of Article 4: Priority of Employment Preference.

The priority of employment preference provided for in the TERO Code under section 17-4-106 shall be deleted and shall be replaced with 23 CFR 635.117(d), which states that Pursuant to 23 U.S.C. 140(d), it is permissible for State Transportation Departments to implement procedures or requirements which will extend preferential employment to Indians living on or near a reservation on eligible projects as defined in paragraph (e) of the CFR. Indian preference shall be applied without regard to tribal affiliation or place of enrollment.

3. Article 5: Preference in Contracting and Subcontracting.

4. Article 8: Employment Rights Fee.

5. Other exceptions may be detailed in the RFP.

The Contractor shall comply with the TERO Trainee Program requirements. Within 30 Days of the Contractor's receipt of notice to proceed, a minimum of two full-time trainees (minimum 40 hours a week) shall be hired for participation until Project's Final Completion. Training positions apply to either design or construction activities.

2.12.3 Disadvantaged Business Enterprises

All Proposers are required to provide Colorado Disadvantaged Business Enterprises (DBE) the opportunity to compete fairly for contracting opportunities on this Project. Proposers shall not discriminate on the basis of race, color, national origin, or sex and shall carry out applicable requirements of 49 Code of Federal Regulations (C.F.R.) Part 26 in the award and administration of the Contract.

In accordance with 49 C.F.R. Part 26 and the CDOT DBE Program, CDOT will establish goals for DBE participation on the Contract. CDOT encourages Proposers to consider opportunities for DBEs at this preliminary stage of procurement. A list of current DBEs and their certified Work areas can be found on the Colorado UCP DBE Directory at www.coloradodbe.org.

CDOT will monitor the efforts of the winning contractor throughout the duration of the contract. The contractor will be required to make ongoing good faith efforts to meet the goal throughout the performance of the contract. Failure to do so will result in sanctions being assessed against the contractor.

There will a design DBE goal and a construction DBE goal for the project. The design DBE goal has been established as 13%. The construction DBE goal will be stated in the RFP along with all project-specific DBE requirements.

2.12.4 Emerging Small Business Program

The Emerging Small Business (ESB) Program is a CDOT-specific small business program, which has been approved as CDOT's race-neutral small business element of its DBE Program in accordance with 40 CFR Part 26. CDOT encourages the contractor to consider opportunities for ESBs at this preliminary stage of procurement. A list of current ESBs can be found at www.coloradoesb.org.

An ESB goal and all Project-specific ESB requirements will be stated in the RFP.

2.12.5 CDOT On-the-Job Training Program

This Project will be subject to the requirements of CDOT's On-the-Job Training (OJT) Program and 23 CFR 230. The goal of CDOT's OJT Program is to provide individuals with meaningful on-the-job training that builds career skills that are useful beyond an initial project. Compliance with the requirements of the OJT program will be an essential element of the Proposer's Equal Employment Opportunity Affirmative Action

(EEO/AA) program, the primary objective of which is to develop women, minorities and disadvantaged individuals into full journey workers in skilled highway construction crafts. The successful Proposer will be required to obtain performance of a minimum number of hours of work on the Project by program-approved trainees or apprentices.

The OJT goal and all OJT Project-specific requirements will be stated in the RFP.

2.13 SOQ Confidentiality

Documents submitted pursuant to this RFQ will be subject to the Colorado Open Records Act, C.R.S. §§ 24-72-201, *et. seq.* Information clearly marked as confidential and proprietary will be kept confidential by CDOT, unless otherwise provided by law. The Colorado Open Records Act provides that "Trade secrets, privileged information, and confidential commercial, and financial, data furnished by any person" to a state agency will not be produced in response to an open records request. CDOT will notify the Submitter if a request is made for such information, and the denial is challenged, so that the Submitter may take any action it deems necessary to defend the challenge. The Submitter, not CDOT, shall be the entity responsible for defending against Colorado Open Records Act disclosures for any records claimed by the Submitter to be confidential and proprietary. CDOT will also follow Procedural Directive 508.2 which can be found at www.codot.gov/business/consultants/procedural-directive-508.2-1/view

Confidential information shall be clearly marked as CONFIDENTIAL INFORMATION.

3.0 QUALIFICATIONS OF THE SUBMITTER

3.1 General Qualifications

The Submitters shall provide in its SOQ a high-quality, best-value team that has the capacity, resources and experience to design and build the Project, as described in [Section 2.1](#). The Submitter should demonstrate that it can help CDOT achieve the purpose, values and goals cited in [Section 2.2](#). Additionally, the Submitter should recognize the special challenges and critical technical issues of the project, and demonstrate design and construction experience including:

1. Mitigation of geologic hazards including landslides and slope stability concerns.
2. Design and construction through contextually sensitive areas, including but not limited to high-quality view sheds, historic areas and archaeological sites
3. Design and construction of major structures
4. Roadway Drainage through the Project area including impacts to adjacent properties
5. Construction/reconstruction using innovative designs, methods, and materials
6. Public information outreach and coordination, including working with adjacent property owners not familiar with the design-build process
7. National Environmental Policy Act/Environmental compliance on design-build projects
8. The design-build of projects of similar size, scope, type, or complexity

3.2 Contractor Safety Requirements

CDOT has determined it will provide an Owner Controlled Insurance Program (OCIP) for the Project. In part, the OCIP costs to CDOT would be based upon the prime contractor safety statistics. The successful Contractor will be expected to maintain a safe project site. The Submitter shall provide CDOT with safety performance data as required in Section 4.

3.3 Expectations for Collaboration with CDOT

It is not expected that all key personnel and their staff be co-located with the CDOT project team. However, it is expected that effective face to face collaboration and partnering be achievable through over-the-shoulder design and associated Project actions to complete the Work. The Project may require full time co-location or part time co-location dependent upon the phase, the required Work, and the duties assigned to the individual contributor, Major Participant or Key Personnel. Submitter shall provide a Co-Location Plan as described in [Section 4.4](#).

3.4 Key Personnel

The successful outcome of the Project will be dependent on the experience, qualifications and availability of Key Personnel on the Submitter's team. CDOT has determined that the following roles shall be defined as Key Personnel:

1. Design-Build Project Manager
2. Design Manager
3. Construction Manager
4. Quality Control Administrator
5. Environmental Compliance Manager
6. Public Information Manager (PIM)
7. Civil Rights Program Manager
8. Structural Lead
9. Geotechnical Lead

3.4.1 Submitter Proposed Additional Key Personnel

The Submitter may propose additional positions as Key Personnel based on its understanding of the Project challenges. The Submitter may designate these additional positions as Key Personnel and include their resumes in the SOQ for CDOT's consideration.

3.4.2 Minimum Requirements of Key Personnel

The following provides a brief job description and minimum requirements of the Key Personnel assigned to the Project. Key Personnel will be evaluated, in part, based on the extent they meet and/or exceed such requirements.

Any licenses or certifications that are required to meet the conditions of the RFQ shall be in place prior to proceeding with the applicable sections of the Work. All required licenses, registrations, and credentials shall be maintained in good standing for the duration of the Project.

Design-Build Project Manager

1. The Design-Build Project Manager shall be in direct charge of the Work, and is responsible for the administration and satisfactory completion of the Project under the contract. This person shall be assigned to the Project full time and will be required to be onsite as necessary to fulfill their responsibilities.
2. Shall have recent Design-Build experience managing major projects involving major highway construction/reconstruction including bridge/structure construction, and traffic phasing.

3. Shall not be assigned any other Key Personnel duties or responsibilities on the Project.
4. Must work under the direct supervision of the Design-Builder's Executive Management Team (EMT). The Design-Build Project Manager will report to the EMT.

Design Manager

1. The Design Manager will be responsible for ensuring that the overall Project design is completed and design criteria requirements are met. This person shall be assigned to the Project full time during the design phase. The Design Manager will be required to be onsite and available to the Project as necessary to facilitate design Work and to address design issues for the duration of the Project.
2. The Design Manager shall work under the direct supervision of the Design-Build Project Manager.
3. Shall be a registered Professional Engineer in the State of Colorado prior to the commencement of any design Work on the Project.
4. Shall have a minimum of ten years of design experience including experience managing projects involving major highway construction/reconstruction, bridge/structure construction, and traffic phasing.
5. Shall have the authority and obligation to stop Work if and when he/she knows, or has reason to believe, that any Work does not meet the standards, specifications, or criteria established for the Project.
6. Preferably will have Design-Build experience.
7. Shall not be assigned any other Key Personnel duties or responsibilities on the Project.

Construction Manager

1. The Construction Manager shall be assigned to the Project full time and will be required to be onsite for the duration of the construction Work.
2. Shall have a minimum of ten years of construction experience including managing the construction of projects involving major highway construction/reconstruction, bridge/structure construction, and traffic phasing.
3. The Construction Manager shall work under the direct supervision of the Design-Build Project Manager.
4. Shall not be assigned any other Key Personnel duties or responsibilities on the Project.
5. Shall have the authority and obligation to stop Work if and when he/she knows, or has reason to believe, that any Work does not meet the standards, specification, or criteria established for the Project.
6. Preferably will have Design-Build experience.

Quality Control Administrator

1. Shall work under the direct supervision of the Design-Builder's Executive Management Team (to whom the Design-Build Project Manager also reports). The Quality Control Administrator shall be assigned to the Project full time and will be required to be onsite as required for the Work to fulfill their responsibilities.
2. Responsible for the Design-Builder's quality program.
3. The Quality Control Administrator shall be responsible for certifying on the monthly invoice that the Work has been completed in conformance to the contract, the Approved Released for

Construction Drawings (or revisions), and the execution of the Design-Builder's quality management plan.

4. Shall have the independent authority and obligation to stop any and all Work that does not meet the standards, specifications, or criteria established for the Project.
5. Shall have recent experience developing, implementing, and overseeing quality programs on Design-Build projects.

Environmental Compliance Manager

1. The Environmental Compliance Manager shall work under the direct supervision of the Design-Build Project Manager. The Environmental Compliance Manager shall be assigned to the Project full time and will be required to be onsite as required for the Work to fulfill their responsibilities.
2. It is desirable that the Environmental Compliance Manager will have Design-Build experience, but must have experience developing, implementing, overseeing environmental compliance programs.
3. Shall have experience with environmental documentation, including Environmental Impact Statements, Environmental Assessments and all associated documents, and implementation of resulting requirements as required for the Work.
4. Responsible to ensure Environmental Compliance of the Work and that Work complies with all mitigation requirements of the Project.
5. Responsible to ensure the Contractor develops, implements, maintains, and documents control measures for the Project and is in compliance with permit application requirements.

Public Information Manager

1. Shall have at least seven (7) years of professional experience in public/media relations, marketing or other related fields coordinating public information on public infrastructure projects. The Public Information Manager shall be assigned to the Project full time. The PIM and/or a member of the Communications Team shall be locally based or available at all times during Project Work hours. The PIM and/or a member of the Communications Team shall be available upon request at other hours (i.e., evening public meetings) and shall be on call after Work hours, responding to emergencies in a timely manner.
2. The Public Information Manager shall Work under the direct supervision of the Design-Build Project Manager
3. Shall have relevant media and governmental experience with large projects that have required extensive public communications.
4. Should have a college degree in Communications, Journalism, or other relevant field of study.
5. Shall have exceptional verbal and writing skills as well as experience working with both print and broadcast media, experience working with customer service, as well as relevant transportation communications experience.

Civil Rights Program Manager

1. Shall be responsible for the day-to-day operational components of, and serve as the primary contact to CDOT for all matters and requirements concerning TERO, Davis-Bacon and related Acts, equal employment opportunity, Title VI of the Civil Rights Act, Compliance with FHWA-1273, DBE Program, ESB Program, and OJT Program and will be required to be onsite as necessary to ensure compliance for the duration of the Project.

2. Shall have at least three (3) years professional experience working in contract compliance on construction projects.
3. Shall have demonstrable knowledge of small business and/or workforce development programs.
4. Experience working with a TERO program is preferred but not required. Shall Work under the direct supervision of the Design-Build Project Manager

Structural Lead

1. The Structural Lead will lead the design of the bridges and major structures. This person shall be assigned to the Project for the duration of the structural Work and will be required to be onsite as required to oversee critical components of the Work.
2. Shall have accumulated demonstrated experience designing major bridges and structures like those structures anticipated along US 550.
3. Shall be able to address topographic and geotechnical challenges.
4. Shall be available during construction to address issues that may arise.
5. Shall be a registered Professional Engineer in the State of Colorado prior to the commencement of design Work on the Project.
6. Shall have a minimum of ten years of bridge/structures design experience.

Geotechnical Lead

1. The Geotechnical Lead shall be responsible for the oversight and management of the geotechnical design Work, and for ensuring that all design criteria requirements are met. This person shall be assigned to the Project for the duration of the geotechnical Work and will be required to be onsite as required to oversee critical components of the Work.
2. Shall work under the direct supervision of the Design-Build Project Manager.
3. Shall be responsible to field verify the accuracy and completeness of the geotechnical designs through all aspects of the Work.
4. Shall provide evidence of successful experience in performing or managing geotechnical investigations for the design and construction of:
 - A. Large excavations,
 - B. Shallow and deep foundation systems for bridges,
 - C. Landslide identification and mitigation,
 - D. Subsurface drainage,
 - E. Ground anchors and anchored systems, and
 - F. Retaining walls.
5. Shall have a minimum of 10 years of relevant experience and have completed, or demonstrated the capability to complete, projects of similar size, scope, type, or complexity.
6. Shall be a registered Professional Engineer in the State of Colorado prior to the commencement of any design Work on the Project.

3.5 Changes to Submitter's Organizational Structure

Key Personnel or Major Participants identified in the SOQ shall not be removed or replaced without CDOT's written Approval. CDOT may revoke a short list status or an awarded Contract if any Key Personnel or



Major Participant identified in the SOQ is removed or replaced without CDOT's written Approval. To qualify for CDOT's Approval, the written request must document that the proposed replacement will be equal to, or better than, the Key Personnel or Major Participant provided in the SOQ. CDOT will use the criteria specified in this RFQ, and the qualifications submitted in the SOQ, to evaluate all requests. Requests for removals or replacements must be submitted in writing to CDOT's Project Director for consideration.

4.0 SOQ SUBMITTAL REQUIREMENTS

The following section describes requirements for the SOQ and the requirements to submit the SOQ. Failure to adhere to these requirements may result in rejection of the SOQ.

4.1 Due Date, Time, and Location

All SOQs must be received by CDOT by 4:00 p.m. Mountain Standard Time on April 26, 2019.

SOQ submissions shall be addressed to:

Mr. David Valentinelli
Project Director US550/160 Connection South Design-Build
CDOT Region 5
3803 N. Main Avenue
Durango, CO 81301

Submissions shall be electronically delivered via *Bid Express* only. Submissions via other methods will not be accepted.

Bid Express Instructions: https://www.bidexpress.com/vendor_resources

For *Bid Express* support please contact *Bid Express*: <https://www.bidexpress.com/contact>

Any SOQ that fails to meet the deadline or delivery requirements will be rejected without opening, consideration, or evaluation.

4.2 Submittal Format

The Submitter shall provide an electronic version of its SOQ, as follows:

An electronic PDF of the compiled SOQ via *Bid Express* at the following link: <https://www.bidexpress.com/businesses/27077/home>. The PDF shall be indexed and bookmarked to allow for ease of section and information location. File size shall be limited to 10MB, however multiple files may be uploaded to adhere to this limit.

All text shall 11-point type or larger. Text in charts and exhibits shall be 8-point type or larger.

The SOQ shall be formatted on single-sided, 8.5" x 11" pages. Each 8.5" x 11" page will count as a page toward the maximum SOQ page limit, as defined herein. 11" x 17" pages may be used for charts, exhibits, and other illustrative and graphical information, and will count as two pages.

The use of covers, indexes, dividers or separator pages to organize the SOQ is left to the discretion the Submitter and will not count toward the page limits.

4.3 Page Limits

SOQ Sections 2 and 3 combined shall not exceed 25 pages. The rest of the SOQ sections are not counted toward the page limit.

4.4 Required Content and Sections of the SOQ

The SOQ shall include the following sections, in the order indicated, and each section shall include the content described below.

Transmittal/Cover Letter

Include a transmittal/cover letter limited to one page.

SOQ Section 1- Title Page and Basic Submitter Data

On a title page, include the Submitter's name, "US 550/160 Connection South Design-Build Project," "Statement of Qualifications," and the date of the submittal.

On the next page, provide the following data in a simple list or table:

1. Submitter's name
2. Submitter's business type (e.g., corporation, partnership, joint venture, etc.)
3. Name of Submitter's single point of contact
4. Title of Submitter's single point of contact
5. Telephone number of single point of contact
6. E-mail address of single point of contact
7. Address of single point of contact
8. List names and roles of each Major Participant

SOQ Section 2 – Submitter Organization, Experience and Past Performance

Provide a narrative description of the Submitter's team with the following minimum information:

1. Submitter's organizational structure.
 - A. Include an organizational chart(s) showing the relationships and integration of the management, design, construction, safety, environmental compliance, quality, and support functions. The chart(s) should show the functional structure of the organization down to the design discipline leader or construction superintendent level. Where appropriate, clearly identify Key Personnel (as defined by CDOT under Section 3.4 and as proposed by the Submitter under Section 3.5) and include Key Personnel resumes in SOQ Section 5.
2. Description of how the Submitter's team (firms and people) has the qualifications and resources required to fully address the Work, values, and Goals.
3. Description of the experience relevant to the Project that the Submitter, the Lead Engineering/Design Firm(s), other Major Participants, and other team members bring to the Project.

4. A discussion of how the past performance shown on the Form Cs and the personnel qualifications shown on the resumes provide assurance to CDOT that the team can successfully execute the work.
5. Specific, substantiated information to aid CDOT in determining the qualifications of the Submitter's team.

SOQ Section 3 – Project Understanding and Approach

SOQ Section 3.1 – Technical Understanding and Approach

Identify and describe, at a conceptual level, your understanding of the Project's special challenges, critical technical issues or risks, and demonstrate your team's approach to successful execution of the Work in a way that will meet or exceed the Project Goals and values.

SOQ Section 3.2 Management Approach

Provide your understanding and management approach to successfully delivering the Project for the following:

1. Budget
2. Schedule
3. Communications
4. Quality
5. Safety
6. Resolving Disputes and Partnering
7. Public Information
8. Civil Rights and Subcontractor Compliance
9. Co-Location Plan

The Submitter shall provide a Co-Location Plan identifying the on-site schedule of Key Personnel and specifically address how communication, collaboration and partnering (including conflict resolution) will be fostered. The Plan should also address how the Project will be executed to ensure that the Contractor staff responsibilities can be upheld while guaranteeing the successful execution of the Project Goals and technical requirements.

SOQ Section 4 – Form Cs, Summary of Comparable Contracts in the past Ten Years

Use the Form Cs to describe the experience, up to a maximum of ten projects, that the Submitter, its Lead Engineering/Design Firm(s), the Contractor, and its other Major Participants have designed, and/or constructed within the past ten years.

Include a design-build project that the Submitter has substantially completed and a design-build project that its Lead Engineering/Design Firm has substantially completed.

Identify the involvement of any Key Personnel of the Submitter's team on the projects described on the Form Cs.



SOQ Section 5 – Resumes

Enclose resumes of Key Personnel (as defined by CDOT under Section 3.4 and any additional Key Personnel proposed by the Submitter under Section 3.5). Limit each resume to two pages. There is no limit on the number of resumes the Submitter may include however the resumes enclosed represents the Submitter's commitment of Key Personnel.

Include the following on each resume:

1. Status of relevant licensing or registration in the State of Colorado. If the proposed position requires a license/registration in Colorado and the proposed candidate does not currently have such a license/registration, then the Submitter shall definitively note on the resume that the license/registration will be obtained in time to perform the Work.
2. Years of experience performing similar work.
3. Titles, roles, and responsibilities on any of the projects included in Section 4 (Form Cs).
4. A minimum of three professional references, including a current contact e-mail address and phone number for each reference.

SOQ Section 6 – Form As, Submitter and Major Participant Information

Enclose one Form A each, for the Submitter and all other Major Participants.

SOQ Section 7 – Form Bs, Contractor Safety Statistics

Submit electronically within *Bid Express* three years of safety statistics for the general partner or joint venture members (contractors only) as represented by Form B provided herein.

SOQ Section 8 – Form D – Submitter Receipt of Addenda/Clarifications

Acknowledgment within *Bid Express* submittal process and is required to allow submittal.

SOQ Section 9 – Form Es Certificate of Prequalification

Enclose one Form E each, for the Submitter and all other Major Participants.

SOQ Section 10 – Organizational Conflicts of Interest

Identify all relevant facts relating to past, present, or planned interest(s) of the Submitter's team (including the Submitter, Major Participants, proposed consultants, contractors and subcontractors, and their respective chief executives, directors, and key project personnel) which may result, or could be viewed as, an organizational conflict of interest in connection with this RFQ. See [Section 2.9](#) and [Section 2.10](#).

Disclose:

1. Any current contractual relationships with CDOT (by identifying the CDOT contract number and the CDOT Project Engineer);
2. Present or planned contractual or employment relationships with any current CDOT employee; and

3. Any other circumstances that might be considered to create a financial interest in the Contract by any current CDOT employee, if the Submitter is awarded the Contract.
4. Any current contractual relationships with those firms listed in [Section 2.9](#).

The foregoing list is required but shall not constitute a limitation on the disclosure obligations.

For any fact, relationship, or circumstance disclosed in response to this [RFQ requirement](#), identify steps that have been, or will be taken, to avoid, neutralize, or mitigate any organizational conflicts of interest.

In cases where Major Participants on different Submitter organizations belong to the same parent company, each Submitter must describe how the participants would avoid conflicts of interest through the qualification and Proposal phases of the Project.

SOQ Section 11 – Legal Structure

If the Submitter organization has already been formed, provide complete copies of the organizational documents that allow, or would allow by the time of Contract award, the Submitter and Major Participants to conduct business in the State of Colorado. If the Submitter organization has not yet been formed, provide a brief description of the proposed legal structure or draft copies of the underlying agreements if available.

SOQ Section 12 – Bonding Capability

Provide a letter from a surety or insurance company stating that the Submitter is capable of obtaining a Performance Bond and Payment Bond covering the Project in the amount of \$80 million each. Letters indicating “unlimited” bonding capability are not acceptable. The surety or insurance company providing such letter must be licensed as surety and qualified to do business in the State of Colorado.

SOQ Section 13 - Submitter Information Disclosure

The Submitter, each Major Participant, and any affiliate of the Submitter or Major Participant (including the company’s parent company, subsidiary companies, and any other subsidiary or affiliate of the company’s parent company), whose experience is cited as the basis for the company’s qualifications, shall provide the following items. Assemble separate subsections for each Submitter or Major Participant.

1. Describe the conditions surrounding any contract (or portion thereof) entered into by the company that has been terminated for cause, or which required completion by another party, within the last five years. Describe the reasons for termination and the amounts involved.
2. Describe any debarment or suspension from performing work for the federal government, any state or local government, or any foreign governmental entity against the company.
3. For each description (1. and 2. above), identify the project owner’s representative and current phone number. Indicate “None” to any subsection above that does not apply.

5.0 SOQ EVALUATION PROCESS

5.1 SOQ Evaluation

CDOT will initially review the SOQs for responsiveness to the requirements of this RFQ. The qualifications and approach in the SOQ will then be measured against the evaluation criteria stated in [Section 5.3](#).

In accordance with 23 CFR § 636.206, CDOT will not evaluate a Submitter without a record of relevant past performance in a particular area, or for whom information is not available, either favorably or unfavorably with respect to past performance in such particular area.

5.2 Interviews

CDOT reserves the right to conduct interviews with any Submitter prior to development of a shortlist. If CDOT elects to hold interviews, CDOT will determine the schedule for interviews following receipt of the SOQs.

5.3 SOQ Scoring

CDOT will evaluate all responsive SOQs and give each SOQ a numerical score based on the following criteria. The maximum number of points to available for each criterion is as follows:

1. Submitter's Organization, Experience and Past Performance (60 Points)
2. Submitter's Project Understanding and Approach (40 Points comprising two categories):
 - A. Technical Understanding and Approach (25 Points)
 - B. Management Approach (15 Points)

5.4 Determining Shortlisted Submitters

CDOT will rank the submittals according to the number of points awarded and prepare a shortlist. **CDOT will shortlist three (3) of the most qualified Submitters.**

5.5 Notification of Shortlist

Upon completion of the evaluation, scoring, and shortlisting process, CDOT will send the list of shortlisted Submitters (if any) to all Submitters. CDOT will also publish the list on its project website.

5.6 Debrief Meetings

Once CDOT announces the shortlist, CDOT will meet with any of the Submitters requesting to be debriefed. These debriefing meetings would give Submitters and CDOT an informal setting to discuss the results of the shortlisting process.

6.0 PROCUREMENT PHASE 2

This Section 6.0 is provided for informational purposes only so that each Submitter has information that describes the second phase of the project procurement process, including a summary of certain anticipated RFP requirements. CDOT reserves the right to make changes to the following, and the shortlisted Submitters must only rely on the actual RFP when and if it is issued. This Section 6.0 does not contain requirements related to the SOQ. CDOT expects to conduct an industry review of a draft RFP with the shortlisted Submitters before it issues the RFP.

6.1 Request for Proposals

The Submitters remaining on the shortlist following Phase 1 of the procurement process will be eligible to move to Phase 2 and receive an RFP. While CDOT may make the RFP available to the public for informational purposes, only shortlisted teams will be asked to submit a response to the RFP.

6.2 RFP Content

6.2.1 RFP Structure

The RFP will be structured as follows:

Instructions to Proposers

Contract Documents

1. Book 1 (Contract Terms and Conditions)
2. Book 2 (Technical Requirements)
3. Book 3 (Applicable Standards)
4. Book 4 (Contract Drawings)
5. Reference Documents (for information only)

6.2.2 RFP Information

The RFP will include the following information:

1. Maximum time allowable for design and construction.
2. CDOT's Upset Amount for the Project.
3. Requirements for a proposed schedule of Work.
4. Requirements for submitting Alternative Technical Concepts (ATC) and Alternative Configuration Concepts (ACC).
5. A requirement that if the Proposer is a joint venture, each of the joint venture members will be, if awarded the Design-Build Contract for the Project, jointly and severally liable for performance of the Contractor's obligations under the contract.
6. Additional Requested Elements (ARE).

6.3 Alternative Technical Concept and Alternative Configuration Concept Meetings

CDOT will encourage the Proposers to recommend alternatives to the requirements that are equal to or better in quality or effect (as determined by CDOT in its sole discretion). CDOT will offer each Proposer the opportunity to meet before the Proposal due date to discuss ATCs and ACCs. Proposers will not be required to accept the meeting(s) offered.

6.4 Proposals Submitted in Response to the RFP

Shortlisted Proposers, as part of the procurement process, must submit a two-part Proposal. One part is the technical proposal. The second part is the price proposal. The RFP will specifically define formats and page limits for the Proposal packaging and all Proposal contents.

6.5 Proposal Evaluations

CDOT has determined that award of the Project will be based on a "best value" determination under C.R.S. Sections 43-1-1401 through 43-1-1412. No ranking or score from the RFQ process will be applied to the Proposals.

6.6 Stipends

CDOT will award a stipend to each shortlisted, responsible Proposer that provides a responsive, but unsuccessful, Proposal. The amount of such stipend, and its terms and conditions, will be stated in the RFP. CDOT will pay the stipend to each eligible Proposer within 90 days after the award of the Contract.

In consideration for paying the stipend, CDOT shall have the right to use any ideas or information contained in the Proposal for the Project, or in connection with a subsequent procurement, without any obligation to pay any additional compensation to the unsuccessful shortlisted Proposers.

If CDOT cancels the procurement after receipt of Proposals, CDOT will pay a stipend to each responsive Proposer.

7.0 PROTEST PROCEDURES

7.1 Protests Regarding the RFQ Process

Any protests regarding the RFQ process shall be hand-delivered to the Project Director of this RFQ within seven (7) working days after the Submitter knows or should have known of the facts giving rise to the basis for the protest. The Submitter is responsible for obtaining proof of delivery.

No hearing will be held on the protest, but the CDOT Chief Engineer or his/her designee shall decide it on the basis of the written submissions. Any additional information regarding the protest should be submitted within the time period requested in order to expedite resolution of the protest. If any party fails to comply expeditiously with any request for information by the CDOT Chief Engineer or his/her designee, the protest may be resolved without such information.

The CDOT Chief Engineer or his/her designee will issue a written decision regarding the protest within seven (7) working days after the protest is filed. The decision shall be based on and limited to a review of the issues raised by the aggrieved Submitter(s) and shall set forth each factor taken into account in reaching the decision. The CDOT Chief Engineer's decision is final and the protestor has no right of appeal. The procurement will not be stayed during the protest.

FORM A
SUBMITTER and MAJOR PARTICIPANT INFORMATION

Entity is a Submitter: or Major Participant: (check appropriate box)

Company Name of Submitter/Major Participant (as indicated above):

Year Established: _____ Federal Tax ID No.: _____

Name of Official Representative: _____

Contact Person: _____

Address: _____

Telephone No.: _____ Fax No.: _____

E-mail address: _____

Business Organization (check one):

Corporation (If yes, indicate the State and Year of Incorporation):

Partnership

Joint Venture

Other (describe): _____

A. Business Name: _____

B. Business Address: _____

Headquarters: _____

Office Performing Work: _____

Contact Telephone Number: _____

C. Bonding Capacity: _____

Total: _____ Available: _____

[Note: Bonding Capacity does not apply to Major Participants that are Designers.]

FORM A (cont.)

SUBMITTER and MAJOR PARTICIPANT INFORMATION

D. If the entity is, or will be, a Joint Venture or Partnership, indicate the name and role of each member company in the space below. Complete a separate Form A for each member company and attach it to the SOQ. Also indicate the name and role of each other financially liable party and attach a separate Form A.

Name of Member Company	Role	Financial Liability
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Under penalty of perjury, I certify that I am the Company's Official Representative and that, to the best of my knowledge and belief, following reasonable inquiry; the foregoing is true and correct.

BY: _____
(SIGNATURE)

PRINT NAME: _____

TITLE: _____

DATE: _____

[Please make additional copies of this form as needed].

EXAMPLE FORM B

(Submitted via *Bid Express Form*)

CONTRACTOR SAFETY STATISTICS

Contractor's Name: _____

Year	Incident Rate	Lost Work Day Index	Cost of Accident per Employee	Experience Modification Rating	No. of Fatalities	No. of Lost Work Days	No. of Recordable Injuries
2018							
2017							
2016							

Incident Rate = $\frac{(\text{Number of Injuries and Illnesses}) \times 200,000}{\text{Total Hours Worked}}$

Lost Work Day Index = $\frac{(\text{Number of Lost Work Days}) \times 200,000}{\text{Total Hours Worked}}$

Cost of Accident per Employee = $\frac{\text{Total Cost of Accidents}}{\text{Average Number of Employees}}$

Experience Modification Rating = $\frac{\text{Actual Claims per Year}}{\text{Expected Claims Based on Past 3 Year Trending}}$

Under penalty of perjury, I certify that I am the Company's Official Representative and that, to the best of my knowledge and belief, following reasonable inquiry; the foregoing is true and correct.

BY: _____ PRINT NAME: _____
(SIGNATURE)

TITLE: _____ DATE: _____

[Please make additional copies of this form as needed].

FORM C

SUMMARY OF COMPARABLE CONTRACTS IN PAST TEN (10) YEARS

1. Company Name: Submitter: <input type="checkbox"/> or Major Participant: <input type="checkbox"/> (check appropriate box)	2. Name of Project:
3. Owner Contract No. or State Project No.	4. Type: <input type="checkbox"/> Construction 1. <input type="checkbox"/> Construction <input type="checkbox"/> Design
5. Name of Prime Designer/Contractor:	6. Company Role: 2. (Joint venture partner, subcontractor, etc.)
7. Owner (Name): _____ 3. Project Manager (Name): _____ 4. Provide the following information for the Project Manager listed above: 5. Address: _____ 6. Phone: _____ 7. Fax: _____ 8. Email: _____	8. Original Project Contract Amount: \$ _____ 9. Final Project Contract Amount: \$ _____ 10. Percent of Work Performed by Own Forces (%): _____
9. Original Project Schedule Milestones: _____ 11. Final Project Schedule Milestones: _____ 12. <u>Explanation of Differences:</u> _____	
10. Description of any project claims, dispute proceedings, litigation, and arbitration proceedings:	
11. Description of the assessment of any liquidated damages including the causes of the delays and the amounts assessed:	
12. Project Description and Nature of Work Performed by Submitter/Major Participant:	

[Please make additional copies of this form as needed.]
[Form C may continue onto a page 2.]

FORM E
CERTIFICATE OF PREQUALIFICATION

UNDER PENALTY OF PERJURY, I CERTIFY THAT I AM THE OFFICIAL REPRESENTATIVE OF

_____ [COMPANY NAME], AND THAT

_____ [COMPANY NAME], IS CURRENTLY
PREQUALIFIED TO PERFORM WORK FOR CDOT.

By: _____
(SIGNATURE)

PRINT NAME: _____

TITLE: _____

DATE: _____

[Please make additional copies of this form as needed.]